

# Withdrawal Form

## Investment Savings Bond

20 February 2017

### OnePath Life Limited (OnePath Life)

ABN 33 009 657 176 AFSL 238241

242 Pitt Street, Sydney NSW 2000

### Customer Services

Phone 133 665

Email [customer@onepath.com.au](mailto:customer@onepath.com.au)

Website [onepath.com.au](http://onepath.com.au)

### Instructions

- This form should be completed if you wish to make a withdrawal.
- Please complete this form in CAPITAL LETTERS using black or blue pen or forward to: Investment Savings Bond, OnePath Life Limited, GPO Box 5306, Sydney NSW 2001
- If you commenced your Investment Savings Bond policy on or after 12 December 2007, you are required to provide evidence of client identity verification to us. Please refer to page 9 of this form for further information.

1. Policy number

### 2. Policy owner details

#### Policy owner 1

Title  Mr  Mrs  Ms  Miss  Dr Other

Surname

Given name(s)

Date of birth (dd/mm/yyyy)  /  /

Residential address (this cannot be a PO Box)  
Suburb/Town  State  Postcode

Country

Postal address (if different from above)  
Suburb/Town  State  Postcode

Country

Phone Home  Business   
Mobile  Fax

Email

#### Policy owner 2

Title  Mr  Mrs  Ms  Miss  Dr Other

Surname

Given name(s)

Date of birth (dd/mm/yyyy)  /  /

Residential address (this cannot be a PO Box)  
Suburb/Town  State  Postcode

Country

Postal address (if different from above)  
Suburb/Town  State  Postcode

Country

Phone Home  Business   
 Mobile  Fax   
 Email

**Note:** If this is a vested Child Advancement Policy, please attach vesting confirmation letter or Policy Schedule and Vesting Schedule.

**Company/Charity/Trustee/Association/Partnership** (please complete address details below)

Name   
 Contact person   
 ABN  -  -  -   
 Registered address   
 Suburb/Town  State  Postcode   
 Country   
 Postal address (if different from above)   
 Suburb/Town  State  Postcode   
 Country   
 Phone Business  Mobile   
 Fax   
 Email

**3. Payment instructions**

- Credit my bank, building society or credit union account (complete Section 4)
- Mail a cheque (complete Section 5)

**4. Nominated account for where my proceeds are to be paid**

Name of financial institution   
 Branch where account is held   
 Account holder's name   
 BSB number  -  Account number

**5. Address where cheque is to be sent**

Address   
 Suburb/Town  State  Postcode   
 For the attention of

## 6. Withdrawal instructions

**A withdrawal made before the end of the '10 year period' will result in tax implications. Please contact your financial adviser or refer to the Product Disclosure Statement for more information.**

**Full withdrawal**  Please attach your entire Policy Document and Memorandum of Transfer. If these documents are unable to be located, a Statutory Declaration must be completed (see Section 7).

**Partial withdrawal**  Please indicate the amount to be withdrawn in either dollars or as a percentage against the relevant fund. The minimum withdrawal is \$1,000 or the value of the applicable policy account, whichever is less (excluding the OnePath Money Market Fund\*). The minimum account balance after the withdrawal is \$2,500, and \$250 per investment fund.

Investment fund	Withdrawal from amount or percentage
OnePath Australian Shares (AEOA,AEOH)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Balanced (PEOA,PEOH)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Capital Guaranteed (CGOA)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Capital Stable (CSOA,CSOH) <sup>§</sup>	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Money Market (MMOA,MMOH)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Diversified Fixed Interest (TE01,TN01)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Emerging Companies (ECOA,ECOH)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Geared Australian Shares Index (TE09, TN09)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath International Shares (ISOA,ISOH)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Managed Growth (DIOA,DIOH)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Sustainable Investments – Australian Shares (TE12, TN12)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OnePath Tax Effective Income (TE02,TN02)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OptiMix Australian Shares (TE04,TN04)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OptiMix Balanced (TE05,TN05)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OptiMix Global Emerging Markets Shares (TE10, TN10)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OptiMix Global Shares (TE06, TE06)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OptiMix Growth (TE07,TN07)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OptiMix Moderate (TE08,TN08)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
OptiMix Property Securities (TE03,TN03)	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %
<b>Total</b>	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> or <input type="text"/> <input type="text"/> <input type="text"/> %

**Note:** Transaction costs may apply when calculating 'buy' (issue) and 'sell' (redemption) unit prices. Please refer to the PDS for further information.

<sup>§</sup> The OnePath Capital Stable Fund is closed to investors who joined the product from 1 September 2011.

## 7. Policy Document

- Partial withdrawal – Policy document not required
- Full withdrawal – Policy document is required (please enclose). **Fax copy is not acceptable. Withdrawal Form and Policy Document (including Policy Schedule and Memorandum of Transfer) should be posted to OnePath Life head office.**
- Full withdrawal – Policy Document unable to be located. Statutory declaration to be completed.

Please complete the below if you are unable to locate your Policy Document.

### Statutory Declaration

If you are unable to return your Policy Document because it is lost, destroyed or you did not receive it, the following must be completed and witnessed.

**Note:** A list of acceptable witnesses is listed overleaf.

I, (full name)

Address

Suburb/Town  State  Postcode

do solemnly declare that

Policy number  -

on the life of

issued to me by OnePath Life Limited has been lost, destroyed or not received, and that a diligent search has failed to locate it. I declare that I have not assigned or mortgaged this Policy and that it has not been pledged as security for any loan.

I further declare that should the original Policy subsequently be found, I shall immediately notify OnePath Life Limited, and return it for cancellation.

And I make this solemn declaration consciously believing the same to be correct and true and understanding there are serious penalties that may apply for making a false declaration.

Subscribed and declared at

**Name of Policy owner 1/Director/Trustee**

**Signature of Policy owner 1/Director/Trustee** (sign clearly within box)  X

Date (dd/mm/yyyy)  /  /

**Name of Policy owner 2/Director/Company Secretary/Trustee**

**Signature of Policy owner 2/Director/Company Secretary/Trustee** (sign clearly within box)  X

Date (dd/mm/yyyy)  /  /

Before me

**Name of witness**

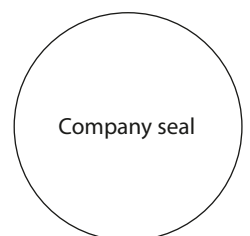
**Signature of witness** (sign clearly within box)  X

Date (dd/mm/yyyy)  /  /

If this Statutory Declaration is signed by an attorney, the attorney acknowledges that there has been no notice of revocation of the Power of Attorney at the time of signing. A certified copy of the Power of Attorney is required.

In the case of company signatories, two directors or a director and company secretary must sign, unless you are a sole director and sole company secretary.

For partnerships, please ensure all parties sign.



**The persons before whom you can make a statutory declaration under the *Commonwealth Statutory Declaration Act 1959* (i.e. persons who can witness act as a witness to your signature).**

- Chiropractor
- Dentist
- Legal practitioner
- Medical practitioner
- Nurse
- Patent attorney
- Pharmacist
- Veterinary surgeon
- Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public (i.e. a branch manager of Australia Post)
- Australian Consular Officer, or Australian Diplomatic Officer, (within the meaning of the *Consular Fees Act 1985*)
- Bailiff
- Bank officer with five or more continuous years of service
- Building society officer with five or more years of continuous service
- Chief executive officer of a Commonwealth court
- Civil marriage celebrant
- Clerk of a court
- Commissioner for Affidavits
- Commissioner for Declarations
- Credit union officer with five or more years of continuous service
- Holder of a statutory office not specified in another item in this Part
- Judge of a court
- Justice of the Peace
- Magistrate
- Master of a court
- Member of the Australian Defence Force who is:
  - (a) an officer
  - (b) a non-commissioned officer within the meaning of the *Defence Force Discipline Act 1982* with five or more years of continuous service or
  - (c) warrant officer within the meaning of that Act
- Member of the Institute of Chartered Accountants in Australia, the Australian Society of Certified Practising Accountants or the National Institute of Accountants
- Member of the Institute of Corporate Managers, Secretaries and Administrators
- Member of the Institution of Engineers, Australia, other than at the grade of student
- Member of:
  - (a) the Parliament of the Commonwealth or
  - (b) the Parliament of a State or
  - (c) a Territory legislature or
  - (d) a local government authority of a State or Territory.
- Minister of religion registered under Division I of Part IV of the *Marriage Act 1961*
- Notary public
- Permanent employee of:
  - (a) the Commonwealth or of a Commonwealth authority or
  - (b) a State or Territory or of a State or Territory authority or
  - (c) a local government authoritywith five or more years of continuous service who is not specified in another item in this Part
- Permanent employee of the Australian Postal Corporation with five or more years of continuous service who is employed in an office supplying postal services to the public
- Person before whom a statutory declaration may be made under the law of the State or Territory in which the declaration is made (such as a Justice of the Peace)
- Police Officer
- Registrar or Deputy Registrar, of a court
- Senior Executive Service officer of the Commonwealth, or of a State or Territory, or of a Commonwealth, State or Territory authority
- Sheriff
- Sheriff's officer
- Teacher employed on a full-time basis.

**Please note:** The person who is authorised to certify documents must make sure all pages have been certified as true copies by writing or stamping 'certified true copy' followed by their signature, printed name, qualification (e.g. Justice of the Peace, Australia Post employee, etc) and date.

## 8. Declaration and signature

By completing this form, I/we:

- acknowledge that I/we have fully read the current Product Disclosure Statement, Incorporated Material and Policy Document (Documents), as well as this form, and the information completed on this form is true and correct
- request OnePath Life and/or its related entities (the Group) to act upon and give effect to the directions given by me/us on this form
- agree to be bound by the terms of Documents and my/our Policy, including the Policy Schedule
- acknowledge that the Group does not make any specific recommendations concerning investment in any of the investment funds and I/we agree to not hold the Group responsible for the performance of the investment fund(s) selected
- consent to the collection, use, storage and disclosure of my/our personal information as described in OnePath's Privacy Policy which is available at onepath.com.au, or by calling Customer Services. If I/we have provided information about another person in this application (for example a beneficiary or life insured), I/we declare that I/we have the consent of that person to do so. I/we understand that OnePath Life requires me/us to inform the person concerned that I/we have done so and direct them to the Privacy Policy which is located at onepath.com.au
- acknowledge that I/we am/are not aware and have no reason to suspect that my/our investment is derived from, related to or used to fund money laundering, terrorism financing or other similar activities and my/our instructions in relation to my/our investment will not result in ANZ or any of its related group of companies breaching any related laws or regulations in Australia or any other country
- acknowledge that an investment in the Investment Savings Bond is not a deposit or liability of ANZ or its related group companies, and none of them stands behind or guarantees the issuer or the capital or performance of an investment in the Investment Savings Bond, and that my/our investment is subject to investment risk, including possible repayment delays and loss of income and principal invested
- acknowledge that the Group is not liable for any loss suffered (including consequential loss) where transactions are delayed, blocked or frozen or where the Group refuses to process a transaction.

**Name of Policy**

**owner 1/Director/Trustee**

**Signature of Policy**

**owner 1/Director/Trustee**

(sign clearly within box)

Date (dd/mm/yyyy)

**Name of Policy**

**owner 2/Director/Company**

**Secretary/Trustee**

**Signature of Policy**

**owner 2/Director/Company**

**Secretary/Trustee**

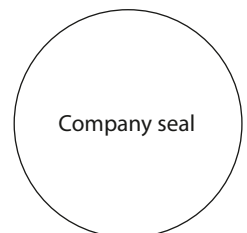
(sign clearly within box)

Date (dd/mm/yyyy)

If this Withdrawal Form is signed by an attorney, the attorney acknowledges that there has been no notice of revocation of the Power of Attorney at the time of signing. A certified copy of the Power of Attorney is required.

In the case of company signatories, two directors or a director and company secretary must sign, unless you are a sole director and sole company secretary.

For partnerships, please ensure all parties sign.



## Privacy

In this section 'we', 'us' and 'our' refers to OnePath Life Limited and other members of the ANZ Group. We collect your personal information from you in order to manage and administer our products and services. We may need to disclose it to certain third parties.

We are committed to ensuring the confidentiality and security of your personal information.

Our Privacy Policy details how we manage your personal information and is available on request or may be downloaded from [onepath.com.au/aboutOnePath/privacy-policy.aspx](http://onepath.com.au/aboutOnePath/privacy-policy.aspx)

In order to undertake the management and administration of our products and services, it may be necessary for us to disclose your personal information to certain third parties.

Unless you consent to such disclosure we will not be able to consider the information you have provided.

### Providing your information to others

The parties to whom we may routinely disclose your personal information include:

- an organisation that assists us and/or ANZ to detect and protect against consumer fraud
- any related company of ANZ which will use the information for the same purposes of ANZ and will act under ANZ's Privacy Policy
- an organisation that is in an arrangement or alliance with us and/or ANZ to jointly offer products and/or to share information for marketing purposes (and any of its outsourced service providers or agents), to enable them or us and/or ANZ to provide you with products or services and/or to promote a product or service
- organisations performing administration
- compliance functions in relation to the products and services we provide
- organisations providing medical or other services for the purpose of the assessment of any insurance claim you make with us (such as reinsurers)
- our solicitors or legal representatives
- organisations maintaining our information technology systems
- organisations providing mailing and printing services
- persons who act on your behalf (such as your agent or financial adviser)
- regulatory bodies, government agencies, law enforcement bodies and courts

We will also disclose your personal information in circumstances where we are required by law to do so.

Examples of such laws are:

- *The Family Law Act 1975* (Cth) enables certain persons to request information about your interest in a superannuation fund
- There are disclosure obligations to third parties under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*

If you do not want us, ANZ or our alliance partners to tell you about our products or services, phone Customer Services to withdraw your consent.

### Information required by law

ANZ may be required by relevant laws to collect certain information from you. Details of these laws and why they require us to collect this information are contained in OnePath's Privacy Policy at [onepath.com.au/aboutOnePath/privacy-policy.aspx](http://onepath.com.au/aboutOnePath/privacy-policy.aspx)

### Life risk – sensitive information

For life risk products, where applicable, we may collect health information with your consent. Your health information will only be disclosed to service providers or organisations providing medical or other services for the purpose of underwriting, assessing the application or assessing any claim.

### Overseas recipients

We or ANZ may disclose information to recipients (including service providers and related companies) which are (1) located outside Australia and/or (2) not established in or do not carry on business in Australia.

You can find details about the location of these recipients in ANZ's Privacy Policy at [anz.com/privacy](http://anz.com/privacy)

## Privacy consent

We and other members of the ANZ Group may send you information about our financial products and services from time to time. ANZ may also disclose your information to its related companies or alliance partners to enable them or ANZ to tell you about a product or service offered by them or a third party with whom they have an arrangement.

You may elect not to receive such information at any time by contacting Customer Services.

Where you wish to authorise any other parties to act on your behalf, to receive information and/or undertake transactions please notify us in writing.

If you give us or ANZ personal information about someone else, please show them a copy of this document so that they may understand the manner in which their personal information may be used or disclosed by us or ANZ in connection with your dealings with us or ANZ.

## Privacy Policy

- OnePath's Privacy policy contains information about:
- when we or ANZ may collect information from a third party;
- how you may access and seek correction of the personal information we hold about you;
- and how you can raise concerns that we or ANZ has breached the Privacy Act or an applicable code and how we and/or ANZ will deal with those matters.

You can contact us about your information or any other privacy matter as follows:

GPO Box 75  
Sydney NSW 2001  
Email: [privacy@onepath.com.au](mailto:privacy@onepath.com.au)

We may charge you a reasonable fee for this.

If any of your personal information is incorrect or has changed, please let OnePath know by contacting Customer Services. More information can be found in our Privacy Policy which can be obtained from our website at [onepath.com.au/aboutOnePath/privacy-policy.aspx](http://onepath.com.au/aboutOnePath/privacy-policy.aspx)



# Know your customer – identification requirements

## Investment Savings Bond

20 February 2017

### OnePath Life Limited (OnePath Life)

ABN 33 009 657 176 AFSL 238241

242 Pitt Street, Sydney NSW 2000

### Adviser Services

**Phone** 1800 804 768

**Email** [adviser@onepath.com.au](mailto:adviser@onepath.com.au)

**Website** [onepath.com.au](http://onepath.com.au)

The *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) requires us to identify you and verify your identity when you purchase units in any of the investment funds offered through Investments Savings Bond.

The information outlined below relates to individuals (including those investing on behalf of a child), joint investors and sole traders only.

**If this investment is not in the name of an individual(s) (e.g. company, trust, partnership, association), you must complete the relevant customer identification document available at [onepath.com.au](http://onepath.com.au). This form must be attached to your Application Form to enable your investment to be processed.**

## Individuals/joint investors/sole traders

You can do one of two things to provide evidence of client identity verification to us:

**Advisers only** – complete our Identification Form which verifies you have collected sufficient identification from your client. Please note, you are not required to send in originals or copies of identification if you use this form. We will also accept the FSC/FPA or dealer group branded identification forms.

or

**Advisers and individuals not using the services of an adviser** – send in original certified copies\* (not original documents) of the following:

- one or more (Primary) Customer identification document, **or**
- two secondary identification documents.

**Please note:** We cannot accept certified copies by fax or email. Please see below for more details regarding certified copies.

### Primary photographic identification document

One of:

- |  |  |
|--|--|
| • Current Australian driver's licence or learner's permit          | • Australian firearms/Shooting licence               |
| • Australian passport (current or expired less than 2 years ago)   | • Australian explosive licence                       |
| • Proof of Age document issued by an Australian State or Territory | • Australian waterways/Boat licence                  |
| • Foreign government issued passport*                              | • Foreign government issued national identity card*. |

or

### Secondary identification document

- |   |   |
|---|---|
| • Birth certificate, birth card, birth extract issued by an Australian State or Territory, or Foreign Government*   | • Australian Taxation Office issued document dated within the last 12 months that records an amount payable or owed to the person and which contains the person's name and residential address.               |
| • Australian or Foreign citizenship certificate*  | • Note issued by an approved Australian aged care facility (less than 12 months old) with name and residential address.   |
| • An Australian issued concession card, as defined from time to time in the <i>Social Security Act 1991</i> , or an equivalent term which expresses the same concept in relation to concession holders (this does not include Medicare card) or a Benefits notice issued by Centrelink (less than 12 months old). | • Australian ImmiCard including either an Evidence of Immigration Status (EIS) ImmiCard, Permanent Residence Evidence (PRE) ImmiCard and Residence Determination ImmiCard (RDI).                              |
| • Australian Medicare card  | • If the person is under the age of 18, a notice dated within the last three months from a school principal containing the person's name and residential address and the period of attendance at that school. |
| • Foreign driver's licence*   |   |
| • Letter issued by the Australian Electoral Commission (less than 3 months old) with name and residential address.  |   |

\* Documents not in English must be accompanied by an English translation prepared by a translator accredited by the National Accreditation Authority for Translators and Interpreters.

# The *Social Security Act 1991* currently defines 'concession cards' as being:

- a pensioner concession card; or
- a health care card; or
- a seniors health card.

## Certified Copies

Certified copies of documents for verification purposes is acceptable provided that they have been certified by persons authorised to certify documents. In all cases, the certification must not have taken place **more than 3 months prior** to when the identification and verification procedure is being undertaken.

The following is a list of persons authorised to certify a copy:

Certifier	Position Held in Australia	Position Held Overseas
A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)	✓	
Judge of a court	✓	
Magistrate	✓	
Chief executive officer of a Commonwealth court	✓	
Registrar or deputy registrar of a court	✓	
Justice of the Peace	✓	
Notary Public	✓	✓
Police Officer	✓	
Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public	✓	
Permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public	✓	
Australian consular officer or an Australian diplomatic officer (within the meaning of the <i>Consular Fees Act 1955</i> )	✓	✓
Officer with 2 or more continuous years' service with one or more Australian financial institutions (for the purposes of the <i>Statutory Declaration Regulations 1993</i> ) or overseas financial institutions with which ANZ has an existing correspondent banking relationship	✓	✓
Finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the <i>Statutory Declaration Regulations 1993</i> )	✓	
Officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees	✓	
Member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants	✓	
Pharmacist	✓	
Employee of the Australian Trade Commission (AUSTRADE) who is:		
(a) in a country or place outside Australia; and		
(b) authorised under paragraph 3(d) of the <i>Consular Fees Act 1955</i> ; and		✓
(c) exercising his or her function in that place		

**Note:** The person who is authorised to certify documents must make sure all pages have been certified as true copies by writing or stamping 'certified true copy' followed by their signature, printed name, contact details, qualification (e.g. Justice of the Peace, Australia Post employee, etc.) and date.

# Identification Form

## Investment Savings Bond

20 February 2017

### OnePath Life Limited (OnePath Life)

ABN 33 009 657 176 AFSL 238241

242 Pitt Street, Sydney NSW 2000

### Adviser Services

**Phone** 1800 804 768

**Email** adviser@onepath.com.au

**Website** onepath.com.au

### Instructions

- Complete all applicable sections of this form in CAPITAL LETTERS using a black or blue pen.
- Complete one form for each individual and forward it to OnePath Funds Management Limited, GPO Box 5306, Sydney NSW 2001.
- Contact Adviser Services on 1800 804 768, weekdays between 8.30am and 6.30pm (AEST) if you have any queries.

## 1. Personal details

Title  Mr  Mrs  Ms  Miss  Dr  Other

Surname

Given name(s)

Date of birth (dd/mm/yyyy)  /  /

Phone  Business  Mobile

Residential address (this cannot be a PO Box)

Suburb/Town  State  Postcode

Country

### Complete this part if the individual is a sole trader

Full business name (if any)

ABN/ACN (if applicable)  -  -  -

Principal place of business (if any – this cannot be a PO Box)

Suburb/Town  State  Postcode

Country

## 2. Verification procedure

Verify the **individual's** full name and **either** their date of birth **or** residential address.

- Complete Part I (or if the individual does not own a document from Part I, then complete Part II).
- Contact your licensee if the individual is unable to provide the required documents.

### Part I – Primary identification documents

Select one valid option from this section only

- |   |  |
|---|--|
| <input type="checkbox"/> Current Australian State/Territory photographic driver's licence or learner's permit | <input type="checkbox"/> Foreign government issued national identity card* |
| <input type="checkbox"/> Australian passport (current or expired less than two years ago)                     | <input type="checkbox"/> Australian firearms/Shooting licence              |
| <input type="checkbox"/> Proof of Age document issued by an Australian State or Territory                     | <input type="checkbox"/> Australian explosive licence                      |
| <input type="checkbox"/> Foreign government issued passport*  | <input type="checkbox"/> Australian waterways/Boat licence                 |

## 2. Verification procedure (continued)

### Part II – Secondary identification documents

#### Maximum of ONE of each Document type

- Birth certificate, birth card, birth extract issued by an Australian State or Territory or Foreign Government\*
- Australian or Foreign Citizenship Certificate\*
- An Australian Government issued concession card, as defined from time to time in the *Social Security Act 1991*, or an equivalent term which expresses the same concept in relation to concession holders (this does not include a Medicare card)<sup>#</sup> or a Benefits notice issued by Centrelink (less than 12 months old).
- Australian Taxation Office issued document dated within the last 12 months that records an amount payable or owed to the person and which contains the person's name and residential address.
- Notice issued by an approved Australian aged care facility (less than 12 months old) with name and residential address.
- If the person is under the age of 18, a notice dated within the last three months from a school principal containing the person's name and residential address and the period of attendance at that school.
- Australian ImmiCard including either an Evidence of Immigration Status (EIS) ImmiCard, Permanent Residence Evidence (PRE) ImmiCard and Residence Determination ImmiCard (RDI).
- Letter issued by the Australian Electoral Commission (less than 3 months old) with name and residential address.
- Australian Medicare card.

\* Documents that are written in a language that is not English must be accompanied by an English translation prepared by a translator accredited by the National Accreditation Authority for Translators and Interpreters.

# The *Social Security Act 1991* currently defines 'concession cards' as being:

- a pensioner concession card; or
- a health care card; or
- a seniors health card.

## 3. Record of verification procedure

### Important:

- **Attach** a legible certified copy of the ID documentation used to verify the individual (and any required translation).
- **Alternatively, if agreed** between your licensee and the product issuer, complete the ID document details below, and **do not** attach copies of the ID documents.

ID document details	Document 1	Document 2
Verified from	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy
Document issuer	<input type="text"/>	<input type="text"/>
Issue date (dd/mm/yyyy)	<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>
Expiry date (dd/mm/yyyy)	<input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>
Document number	<input type="text"/>	<input type="text"/>
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted

## 4. Financial adviser details – identification and verification conducted by:

Date verified (dd/mm/yyyy)	<input type="text"/> / <input type="text"/> / <input type="text"/>
Financial adviser's name	<input type="text"/>
Phone	<input type="text"/>
Qualification	<input type="text"/>
AFS Licensee name	<input type="text"/>
AFSL No.	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

By completing and signing this record of verification procedure the financial adviser declares that they have verified the identity of the customer as required by this form. The Financial adviser also confirms that they are a current AFSL holder or an authorised representative of a current AFSL holder.

Signature	<input type="text"/>	Date (dd/mm/yyyy)	<input type="text"/> / <input type="text"/> / <input type="text"/>
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